

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION

LEONARD SOKOLOW, Individually and on) Case No. 1:18-cv-01039
Behalf of All Others Similarly Situated,)
) Hon. Judge Robert M. Dow, Jr.
Plaintiff,)
)
vs.)
)
LJM FUNDS MANAGEMENT, LTD., et al.,)
)
Defendants.)
)
_____)

ORDER APPROVING PLAN OF ALLOCATION

THIS MATTER having come before the Court for a hearing on December 18, 2019, on the motion of Lead Plaintiffs Justin and Jenny Kaufman, Dr. Larry and Marilyn Cohen, Joseph N. Wilson, Tradition Capital Management LLC, and SRS Capital Advisors, Inc. (collectively, “Lead Plaintiffs”), on behalf of themselves and the Settlement Class, for final approval of the proposed class action Settlement and approval of the Plan of Allocation for the proceeds of the Settlement; the Court having considered all papers filed and proceedings had herein and otherwise being fully informed;

IT IS HEREBY ORDERED, ADJUDGED AND DECREED:

1. This Order incorporates by reference the definitions in the Stipulation and Agreement of Partial Settlement, dated August 19, 2019, ECF No. 192 (the “Stipulation”), and all capitalized terms not otherwise defined herein shall have the same meanings as set forth in the Stipulation.

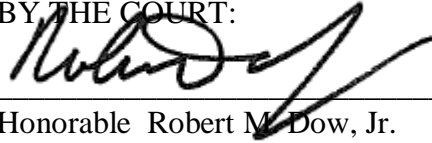
2. Pursuant to and in compliance with Rule 23 of the Federal Rules of Civil Procedure, this Court hereby finds and concludes that due and adequate notice was directed to Persons who are Settlement Class Members who could be identified with reasonable effort, advising them of the Plan of Allocation and of their right to object thereto, and a full and fair opportunity was accorded to Persons who are Settlement Class Members to be heard with respect to the Plan of Allocation. There were no objections to the Plan of Allocation.

3. The Court hereby finds and concludes that the Plan of Allocation for the calculation of the claims of claimants that is set forth in the Notice of Pendency of Class Action, Proposed Partial Class Action Settlement, and Motion for Attorneys’ Fees and Expenses (the “Notice”) disseminated to Settlement Class Members, provides a fair and reasonable basis upon which to allocate the Net Settlement Fund among Settlement Class Members.

4. The Court hereby finds and concludes that the Plan of Allocation, as set forth in the Notice, is, in all respects, fair, reasonable, and adequate and the Court hereby approves the Plan of Allocation.

DATED this 18th day of December, 2019

BY THE COURT:

A handwritten signature in black ink, appearing to read "Robert M. Dow, Jr.", written over a horizontal line.

Honorable Robert M. Dow, Jr.
UNITED STATES DISTRICT JUDGE